

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

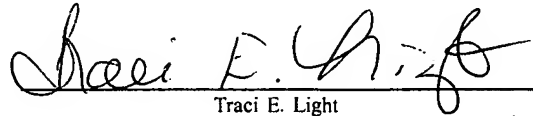
Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Stefan Kwiatkowski, Mirosław Golinski and Joseph Kupper for **Stereospecific Enrichment of Heterocyclic Enantiomers**.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **November 18, 2003** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV329482656US** addressed to: **Box Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Traci E. Light

1. **Type Of Application**
This new application is for a(n)
☒ Original (nonprovisional)
☒ Continuation.
2. **Benefit Of Prior U.S. Application(s)** (35 U.S.C. §§ 119(e), 120, or 121)
☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.**
3. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**
30 Pages of Specification
1 Pages of Claims
1 Page of Abstract
12 Sheets of Formal Drawings
4. **Declaration**
☒ Enclosed
☒ Unexecuted.
5. **Inventorship Statement**
The inventorship for all the claims in this application is:
☒ the same
6. **Language**
☒ English
7. **Assignment**
☒ An assignment of the invention to **R.T. Alamo Ventures I, LLC** is attached.
☒ Form PTO-1595 will follow.
8. **Fee Calculation (37 C.F.R. § 1.16)**
☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$770.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	4 - 20 =	0 × \$18.00 =	\$0.00
Independent Claims (37 C.F.R. § 1.16(b))	2 - 3 =	0 × \$86.00 =	\$0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$290.00 =		\$0.00
Filing Fee Calculation			\$770.00

9. **Small Entity Statement(s)**

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$385.00

10. **Fee Payment Being Made At This Time**

☒ Enclosed

☒ basic filing fee

\$385.00

Total Fees Enclosed \$385.00

11. **Method of Payment of Fees**

☒ Check in the amount of \$385.00

12. **Authorization To Charge Additional Fees and Credit Overpayment**

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.


13. **Power of Attorney by Assignee**

☒ Enclosed

14. **Return Receipt Postcard**

☒ Enclosed

Dated: November 18, 2003



Thomas W. Brown
Registration No.: 50, 002

MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
617/984-0616

☒ **Incorporation By Reference Of Added Pages**

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

15. Relate Back

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

☒ Amend the Specification by inserting before the first line the sentence: "This is a Continuation of copending application(s) **09/999,613** filed on **October 25, 2001**."

16. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
☒ the same.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stefan Kwiatkowski *et al.*

Serial No.:

Group No.:


Filed:

Examiner:

Entitled: **Stereospecific Enrichment of Heterocyclic Enantiomers**

TRANSMITTAL OF FORMAL DRAWINGS

Official Draftsperson
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10	
I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV329482656US , addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: <u>Nov. 18, 2003</u>	By: <u></u> Traci E. Light

Sir or Madam:


In response to the NOTICE OF INFORMAL DRAWINGS or ALLOWABILITY, attached please find:

☒ 12 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR § 1.84(c) on the front side of the drawing.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. **08-1290**. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: 11/18/03


Thomas W. Brown
Registration No. 50, 002

MEDLEN & CARROLL, LLP
101 Howard Street, Suite 305
San Francisco, California 94105
617/984.0616